

TRANSMITTAL LETTER
(General - Patent Pending)

3

Docket No.
0112300-638

In Re Application of Neil D. Falconer

Serial No.
09/963,922

Filing Date
September 26, 2001

Examiner
Unknown

Group Art Unit
3711

Title: **GAMING DEVICE HAVING MULTIPLE IDENTICAL SETS OF SIMULTANEOUSLY ACTIVATED REELS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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Transmitted herewith is:

Transmittal Information Disclosure Statement; Information Disclosure Statement; Information Disclosure Citation - PTO 1449; References Cited; Transmittal Letter (General - Patent Pending); Preliminary Amendment; Certificate of Express Mailing and Postcard Return Receipt.

in the above identified application.

- ☒ No additional fee is required.
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Adam H. Masia

Signature

Dated: April 23, 2002

Adam H. Masia (Reg. No. 35,602)
BELL, BOYD & LLOYD LLC
P. O. Box 1135
Chicago, Illinois 60690

I certify that this document and fee is being deposited on April 23, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Robert Buccieri

Typed or Printed Name of Person Mailing Correspondence

CC:

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-638

In Re Application Of: Neil D. Falconer

IDS
#3

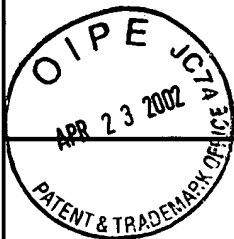
Serial No.
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Examiner
Unknown

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3711

Title: GAMING DEICE HAVING MULTIPLE INDENTICAL SETS OF SIMULTANEOUSLY ACTIVATED REELS



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
0112300-638

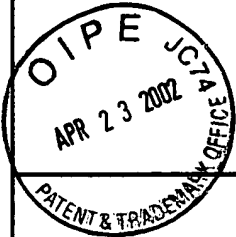
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Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Adam H. Masia

Signature

Adam H. Masia (Reg. No. 35,602)
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Chicago, Illinois 60690

Dated: 4/23/02

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Signature

Dated: 4/23/02

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P. O. Box 1135
Chicago, Illinois 60690

cc:

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Neil D. Falconer
Appl. No.: 09/963,922
Filed: September 26, 2001
Title: GAMING DEVICE HAVING MULTIPLE IDENTICAL SETS OF
SIMULTANEOUSLY ACTIVATED REELS
Art Unit: 3711
Examiner: Unknown
Docket No.: 0112300-638

Assistant Commissioner for Patents
Washington, DC 20231

REC-111
APR 26 2002
TECHNOLOGY CENTER R3700

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,621,814	November 11, 1986	Stepan et al.
5,152,529	October 6, 1992	Okada
5,342,047	August 30, 1994	Heidel et al.
5,393,061	February 28, 1995	Manship et al.
5,395,111	March 7, 1995	Inoue
5,722,891	March 3, 1998	Inoue
5,775,692	July 7, 1998	Watts et al.
5,890,962	April 6, 1999	Takemoto
5,951,397	September 7, 1999	Morro et al.
5,984,782	November 16, 1999	Inoue
6,186,894 B1	February 13, 2001	Mayeroff
6,210,279 B1	April 3, 2001	Dickinson
6,270,412 B1	August 7, 2001	Crawford et al.
6,315,666 B1	November 13, 2001	Mastera et al.

OTHER DOCUMENTS

Hot Reels Game Description by Silicon Gaming, available prior to 2001.

3 Reel Holdup Article written by Strictly Slots, published September 2000.

Totem Pole Advertisement written by IGT, published in 1997.

Triple Play Draw Poker Advertisement written by IGT, published prior to 2001.

4 Card Keno game Screens written by IGT, published prior to 2001.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia
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Phone: (312) 807-4284

04-25-02

Gp 3711

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): Neil D. Falconer			0112300-638
Serial No.	Filing Date	Examiner	Group Art Unit
09/963,922	September 26, 2002	Unknown	3711

Invention: GAMING DEVICE HAVING MULTIPLE IDENTICAL SETS OF SIMULTANEOUSLY ACTIVATED REELS



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